

CHANGES JANUARY 2, 1997 THROUGH AUGUST 29, 1997

TITLE 12—BANKS AND BANKING
Chapter I—Comptroller of the Currency, Department of the Treasury (Parts 1—199)
4 Authority citation revised.....6452
4.6 Added; interim.....6452
13 Added.....13283
19 Authority citation revised.....3199
19.240—19.241 (Subpart O) Added.....3200
Chapter II—Federal Reserve System (Parts 200—299)
203.3 (a)(1)(ii) amended.....3603
(a)(1)(ii) revised.....28623
203.5 (b) and (e) revised; (c) amended.....28623
203 Appendix A and Supplement I amended.....3604
Appendix A amended.....28623, 28624
Supplement I amended.....28626
Appendix A correctly revised.....33340
204.3 (b) revised.....34616
205 Authority citation revised.....43469
205.15 (a) revised.....43469
207 OTC margin stock lists....3773, 22881, 40257
208 Authority citation revised.....6452, 13285
208.8 (k) removed.....9911
208.24 Added9911
208.25 Added.....13285
208.26 Added; interim ..6452
208.129 Added.....13285
(b) corrected.....15601
209.15 Added.....34616
211 Authority citation revised.....13286
211.24 Heading revised; (h) added.....13286
213.1 (a) revised.....15367
213.2 (f) amended.....15367
213.4 (b), (f)(1), (n), (o)(1) heading and (2) heading revised; (t) added.....15367
213.5 (d)(1) revised.....15367
213.7 (d)(1)(ii) and (2)(iv) removed; (d)(1)(iii), (2)(v) and (vi) redesignated as (d)(1)(ii), (2)(iv) and (v); (b)(1), (d)(1)(i), (2)(ii), (iii), new (iv) and new (v) revised.....15367
213 Appendix A amended15369
Supplement I revised16058

215.2 (d) introductory text, (1), (2) and (3) redesignated as (d)(1) introductory text, (i), (ii) and (iii); new (d)(2), new (3) and (e)(3) added	13298
215.4 (a)(2) introductory text revised.....	13298
217.101 (a)(1) amended; (b) added	26737
220 OTC margin stock lists....3773, 22881, 40257	
221 OTC margin stock lists....3773, 22881, 40257	
224 OTC margin stock lists....3773, 22881, 40257	
225.1—225.7 (Subpart A) Revised	9319
225.11—225.17 (Subpart B) Revised	9324
225.21—225.28 (Subpart C) Revised	9329
225.31 (d)(2)(ii) amended	9338
225.32 Removed.....	9338
225.41—225.44 (Subpart E) Revised	9338
225.51 Removed.....	9341
225.61—225.67 (Subpart G) Head- ing revised	9341
225.71—225.73 (Subpart H) Re- vised	9341
225.125 (f) and (g) revised.....	9343
225.145 (a) amended	9343
225.200 Undesignated center heading and section added	45306
225 Appendix C revised.....	9343
226 Supplement I amended...10196, 10197, 10198, 10199	
229.2 (e) concluding text and (s) revised; (pp) redesignated as (qq); new (pp) added.....13809	
229.13 (g)(1) introductory text and (ii)(A) amended; (g)(1)(i)(B), (E) and (h)(4) re- vised; (g)(1)(ii)(A) designation and (B) removed; (g)(4) redesignated as (g)(5); new (g)(4) added.....13809	
229.16 (c)(2)(i)(B) revised	13810
229.19 (a)(1) revised; (a)(5)(ii) amended	13810
229.30 (c) revised	13810
229.34 Heading and (c)(4) revised; (f) added	13810
229.36 Heading and (e)(1)(ii) re- vised; (c) amended	13810
229.39 (b) revised	13810

CHANGES JANUARY 2, 1997 THROUGH AUGUST 29, 1997

Chapter III—Federal Deposit Insurance Corporation (Parts 300—399)	
229.42 Revised	13810
229.43 Added.....	13810
229 Appendix C amended.....	13811
Appendix E amended.....	13816, 13817, 13818
Appendix A amended.....	26221
265.4 (a)(4) added.....	14792
265.5 (f) added	34617
265.6 (a)(5) removed.....	45150
Chapter V—Office of Thrift Supervision, Department of the Treasury (Parts 500—599)	
502 Authority citation revised	3780
502.1 (f) revised.....	3780
516.3 (a)(1)(i), (b)(1)(i) and (c) re-vised	3780
543.2 (g)(1)(iv), (v) and (vi) amended; (g)(2) removed; (g)(3) redesignated as (g)(2)	27180
543.3 Added	27180
(c)(1)(v) corrected.....	28983
543.8 Heading and (a) revised	45309
543.9 (a) and (c) introductory text revised	45309
552.2-1 (a) amended; (b)(2) re-moved.....	27181
560.3 Amended; introductory text revised.....	15825
562.4 (b)(1) and (c)(4) revised.....	3780
563 Authority citation revised	6453
563.41 (e)(2)(ii)(A) revised	3781
563.171 Added; interim.....	6453
565.4 (b)(2)(iii)(B), (3)(iii)(B) and (c)(2) revised	3781
571.6 Removed.....	27181
574.9 (a)(5)(i)(A) revised.....	3781
Chapter VI—Farm Credit Administration (Parts 600—699)	
600.5 Regulation at 61 FR 67185 eff. 3-4-97	18037
602 Authority citation revised.....	41253
602.260 Revised.....	41254
602.261 (a) and (d) revised; (e) added.....	41254
603.310 Regulation at 61 FR 67185 eff. 3-4-97	18037
611.1030 Regulation at 61 FR 67185 eff. 3-4-97	18037
611.1135 (b)(1) corrected.....	13213
Regulation at 61 FR 67185 eff. 3-4-97	18037
611.1140—611.1145 (Subpart J) Regulation at 61 FR 67186 eff. 3-4-97	18037
611.1155—611.1158 (Subpart K) Regulation at 61 FR 67186 eff. 3-4-97	18037
611.1160—611.1169 (Subpart L) Regulation at 61 FR 67186 eff. 3-4-97	18037

CHANGES JANUARY 2, 1997 THROUGH AUGUST 29, 1997

TITLE 12 Chapter VI—Con.

611.1170—611.1176 (Subpart M) Regulation at 61 FR 67186 eff. 3-4-97	18037	614.4233 Introductory text re- vised (effective date pending)	4445
611.1180—611.1183 (Subpart N) Regulation at 61 FR 67186 eff. 3-4-97	18037	Regulation at 62 FR 4445 eff. 3- 11-97.....	11071
611.1190—611.1198 (Subpart O) Regulation at 61 FR 67186 eff. 3-4-97	18037	614.4321 Regulation at 61 FR 67186 eff. 3-4-97	18037
611.1200 Regulation at 61 FR 67186 eff. 3-4-97	18037	614.4440 Regulation at 61 FR 67187 eff. 3-4-97	18037
611.1250 Regulation at 61 FR 67186 eff. 3-4-97	18037	614.4444 Regulation at 61 FR 67187 eff. 3-4-97	18037
611.1255 Regulation at 61 FR 67186 eff. 3-4-97	18037	614.4510 Regulation at 61 FR 67187 eff. 3-4-97	18037
611.1266 Regulation at 61 FR 67186 eff. 3-4-97	18037	614.4515 Regulation at 61 FR 67187 eff. 3-4-97	18037
613 Authority citation revised.....	4441	614.4516 Regulation at 61 FR 67187 eff. 3-4-97	18037
613.3000—613.3030 (Subpart A) Re- vised (effective date pending)	4441	Introductory text amended.....	25831
Regulation at 62 FR 4441 eff. 3- 11-97.....	11071	614.4517 Regulation at 61 FR 67187 eff. 3-4-97	18037
613.3100—613.3200 (Subpart B) Re- vised (effective date pending)	4442	614.4520 Regulation at 61 FR 67187 eff. 3-4-97	18037
Regulation at 62 FR 4442 eff. 3- 11-97.....	11071	614.4525 Regulation at 61 FR 67187 eff. 3-4-97	18037
613.3100 (b)(1)(iv) corrected.....	33746	614.4610 Amended (effective date pending).....	4445
613.3110—613.3120 (Subpart D) Re- moved (effective date pend- ing)	4441	Regulation at 62 FR 4445 eff. 3- 11-97.....	11071
Regulation at 62 FR 4441 eff. 3- 11-97.....	11071	614.4700—614.4900 (Subpart Q) Heading amended (effective date pending)	4445
613.3145—613.3175 (Subpart E) Re- designated as 626 (effective date pending)	4441	Regulation at 62 FR 4445 eff. 3- 11-97.....	11071
Regulation at 62 FR 4441 eff. 3- 11-97.....	11071	614.4700 (a) introductory text, (b) and (h) amended (effective date pending)	4445
613.3200 (Subpart C) Revised (ef- fective date pending)	4444	Regulation at 62 FR 4445 eff. 3- 11-97.....	11071
Regulation at 62 FR 4444 eff. 3- 11-97.....	11071	614.4710 Introductory text, (a)(1) introductory text, (i), (ii), (3), (5), (b)(1) and (c) amended (effective date pending)	4445
613.3200 Correctly designated	11071	Regulation at 62 FR 4445 eff. 3- 11-97.....	11071
614.4010 (d)(4) and (5) amended; (d)(6) and (7) added (effective date pending)	4445	614.4720 Introductory text amended (effective date pending)	4445
Regulation at 62 FR 4445 eff. 3- 11-97.....	11071	Regulation at 62 FR 4445 eff. 3- 11-97.....	11071
614.4020 (a)(4) and (5) amended; (a)(6) and (7) added (effective date pending)	4445	614.4800 Amended (effective date pending)	4445
Regulation at 62 FR 4445 eff. 3- 11-97.....	11071	Regulation at 62 FR 4445 eff. 3- 11-97.....	11071
614.4810 (a) introductory text and (b) amended (effective date pending)	4445	614.4810 (a) introductory text and (b) amended (effective date pending)	4445

CHANGES JANUARY 2, 1997 THROUGH AUGUST 29, 1997

Regulation at 62 FR 4445 eff. 3-11-97.....	11071	and new (b)(2) revised; (b)(3) and (c) added (effective date pending).....	4446
614.4900 (a) through (d) and (i) amended (effective date pending).....	4445	Regulation at 62 FR 4446 eff. 3-11-97.....	11071
Regulation at 62 FR 4445 eff. 3-11-97.....	11071	615.5250 (c) removed; (d) and (e) redesignated as (c) and (d); (a)(4)(ii), (iii) and new (c)(3) amended (effective date pending).....	4447
615 Authority citation revised (effective date pending).....	4445	Regulation at 62 FR 4447 eff. 3-11-97.....	11071
615.5120 Regulation at 61 FR 67187 eff. 3-4-97	18037	Regulation at 61 FR 67187 eff. 3-4-97	18037
615.5140 Regulation at 61 FR 67187 eff. 3-4-97	18037	615.5270 Amended (effective date pending).....	4447
615.5143 Regulation at 61 FR 67187 eff. 3-4-97	18037	Regulation at 62 FR 4447 eff. 3-11-97.....	11071
615.5200 (a) and (b) introductory text revised (effective date pending).....	4446	615.5280 (a) corrected	13213
Regulation at 62 FR 4446 eff. 3-11-97.....	11071	Regulation at 61 FR 67187 eff. 3-4-97	18037
615.5201 (g) amended; (j)(5) and (6) redesignated as (j)(6) and (7); new (j)(5) added (effective date pending).....	4446	615.5290 Regulation at 61 FR 67188 eff. 3-4-97	18037
Regulation at 62 FR 4446 eff. 3-11-97.....	11071	615.5301—615.5336 (Subpart K) Revised (effective date pending).....	4447
615.5205 Revised (effective date pending).....	4446	Regulation at 62 FR 4447 eff. 3-11-97.....	11071
Regulation at 62 FR 4446 eff. 3-11-97.....	11071	615.5301 (b)(2) corrected.....	19219
615.5210 (e)(7) and (f)(2)(i)(C) revised; (e)(10) added; (f)(2)(i)(D) and (v)(D) removed; (f)(2)(v)(E) redesignated as (f)(2)(v)(D) (effective date pending).....	4446	615.5330 (b)(1) corrected.....	11071
Regulation at 62 FR 4446 eff. 3-11-97.....	11071	615.5350—615.5354 (Subpart L) Added (effective date pending).....	4448
615.5216 Removed (effective date pending).....	4446	Regulation at 62 FR 4448 eff. 3-11-97.....	11071
Regulation at 62 FR 4446 eff. 3-11-97.....	11071	615.5355—615.5361 (Subpart M) Added (effective date pending).....	4449
615.5220 (f) removed; (g), (h) and (i) redesignated as (f), (g) and (h); (d), (e) and new (f) amended (effective date pending).....	4446	Regulation at 62 FR 4449 eff. 3-11-97.....	11071
Regulation at 62 FR 4446 eff. 3-11-97.....	11071	617 Revised (effective date pending).....	24566
615.5230 (b)(1) amended (effective date pending).....	4446	Regulation at 62 FR 24566 eff. 6-13-97.....	32478
Regulation at 62 FR 4446 eff. 3-11-97.....	11071	618.8005 (a) and (b) amended (effective date pending)	4450
615.5240 (b) removed; introductory text and (a) redesignated as (a) and (b); new (a)		Regulation at 62 FR 4450 eff. 3-11-97.....	11071
		618.8260 Regulation at 61 FR 67188 eff. 3-4-97	18037
		618.8310 Regulation at 61 FR 67188 eff. 3-4-97	18037
		618.8320 Regulation at 61 FR 67188 eff. 3-4-97	18037
		(b)(5) revised.....	25831

CHANGES JANUARY 2, 1997 THROUGH AUGUST 29, 1997

TITLE 12 Chapter VI—Con.

618.8325 Regulation at 61 FR 67188 eff. 3-4-97	18037	619.9190 Removed (effective date pending)	4450
618.8330 Regulation at 61 FR 67188 eff. 3-4-97	18037	Regulation at 62 FR 4450 eff. 3- 11-97.....	11071
618.8340 Regulation at 61 FR 67188 eff. 3-4-97	18037	619.9220 Removed (effective date pending)	4450
618.8360 Regulation at 61 FR 67188 eff. 3-4-97	18037	Regulation at 62 FR 4450 eff. 3- 11-97.....	11071
618.8370 Regulation at 61 FR 67188 eff. 3-4-97	18037	619.9270 Removed (effective date pending)	4450
618.8380—618.8420 (Subpart I) Regulation at 61 FR 67188 eff. 3-4-97	18037	Regulation at 62 FR 4450 eff. 3- 11-97.....	11071
618.8440 (b)(6) amended (effective date pending)	4450	619.9280 Removed (effective date pending)	4450
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071	Regulation at 62 FR 4450 eff. 3- 11-97.....	11071
619.9030 Removed (effective date pending)	4450	619.9300 Removed (effective date pending)	4450
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071	Regulation at 62 FR 4450 eff. 3- 11-97.....	11071
619.9040 Removed (effective date pending)	4450	619.9310 Removed (effective date pending)	4450
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071	Regulation at 62 FR 4450 eff. 3- 11-97.....	11071
619.9060 Regulation at 61 FR 67188 eff. 3-4-97	18037	620.1 (o), (p) and (q) redesignated as (p), (q) and (r); new (o) added (effective date pend- ing)	15092
619.9065 Removed (effective date pending)	4450	Regulation at 62 FR 15092 eff. 5-6-97	24809
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071	620.2 (a), (b)(3)(i) and (f) through (i) revised (effective date pending)	15092
619.9080 Removed (effective date pending)	4450	Regulation at 62 FR 15092 eff. 5-6-97	24809
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071	620.4 (b) revised (effective date pending)	15093
619.9090 Removed (effective date pending)	4450	Regulation at 62 FR 15093 eff. 5-6-97	24809
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071	620.5 (d)(1)(ix) and (g)(4)(ii) re- vised (effective date pending)	4451
619.9100 Removed (effective date pending)	4450	Regulation at 62 FR 4450 eff. 3- 11-97.....	11071
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071	(g)(2)(vi) revised (effective date pending)	15093
619.9120 Removed (effective date pending)	4450	Regulation at 62 FR 15093 eff. 5-6-97	24809
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071	620.10—620.11 (Subpart C) Head- ing revised (effective date pending)	15093
619.9150 Removed (effective date pending)	4450	Regulation at 62 FR 15093 eff. 5-6-97	24809
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071	620.10 Revised (effective date pending)	15093
619.9160 Removed (effective date pending)	4450		
Regulation at 62 FR 4450 eff. 3- 11-97.....	11071		

CHANGES JANUARY 2, 1997 THROUGH AUGUST 29, 1997

Regulation at 62 FR 15093 eff. 5-6-97.....	24809	790.2 (b)(4) revised	8155
620.15—620.17 (Subpart D) Added (effective date pending).....	15093	(a) revised.....	37126
Regulation at 62 FR 15093 eff. 5-6-97.....	24809	792 Authority citation revised.....	8156
620.20—620.21 (Subpart D) Redesignated as 620.20—620.21 (Subpart E) (effective date pending).....	15093	792.2 (f) revised.....	8156
Regulation at 62 FR 15093 eff. 5-6-97.....	24809	Chapter IX—Federal Housing Finance Board (Parts 900—999)	
620.20—620.21 (Subpart D) Redesignated as 620.20—620.21 (Subpart E) (effective date pending).....	15093	902 Authority citation revised.....	35949
Regulation at 62 FR 15093 eff. 5-6-97.....	24809	902.2 Revised.....	35949
620.20 (c) removed; (b) revised (effective date pending).....	15094	931 Authority citation revised.....	26922
Regulation at 62 FR 15094 eff. 5-6-97.....	24809	Revised; interim	6861
620.30—620.31 (Subpart E) Redesignated as 620.30—620.31 (Subpart F) (effective date pending).....	15093	931.5 Redesignated as 934.4.....	26922
Regulation at 62 FR 15093 eff. 5-6-97.....	24809	932 Authority citation revised.....	9
620.40 (Subpart F) Redesignated as 620.40 (Subpart G) (effective date pending).....	15093	932.40 Revised	9
Regulation at 62 FR 15093 eff. 5-6-97.....	24809	932.41 Revised	9
626 Redesignated from 613.3145—613.3175 (Subpart E) (effective date pending).....	4441	934 Authority citation revised.....	26922
Authority citation added.....	4451	934.4 Redesignated as 934.5; new 934.4 redesignated from 931.5 and revised	26922
Regulation at 62 FR 4441 eff. 3-11-97.....	11071	934.5 Redesignated as 934.6; new 934.5 redesignated from 934.4	26922
626.6025 (b) amended (effective date pending).....	4451	934.6 Redesignated as 934.7; new 934.6 redesignated from 934.5	26922
Regulation at 62 FR 4451 eff. 3-11-97.....	11071	934.7 Redesignated as 934.8; new 934.7 redesignated from 934.6	26922
630 Authority citation revised.....	15094	934.8 Redesignated as 934.9; new 934.8 redesignated from 934.7	26922
630.3 (f) and (g) redesignated as (g) and (h); new (f) added (effective date pending).....	15094	934.9 Redesignated as 934.10; new 934.9 redesignated from 934.8	26922
Regulation at 62 FR 15094 eff. 5-6-97.....	24809	934.10 Redesignated as 934.11; new 934.10 redesignated from 934.9	26922
650 Authority citation revised.....	43635	934.11 Redesignated as 934.12; new 934.11 redesignated from 934.10.....	26922
650.50—650.68 (Subpart C) Added.....	43636	934.12 Redesignated as 934.13; new 934.12 redesignated from 934.11.....	26922
Chapter VII—National Credit Union Administration (Parts 700—799)		934.13 Redesignated as 934.14; new 934.13 redesignated from 934.12.....	26922
701.1 Revised	5316	934.14 Redesignated as 934.15; new 934.14 redesignated from 934.13.....	26922
703 Revised	33001	934.15 Redesignated from 934.14	26922
704 Revised	12938	935 Authority citation revised.....	12079
709.5 (b)(7) and (8) revised; (b)(9) added.....	12949	935.1 Amended; interim	8871, 12079
741.219 Added	12949	935.13 (a)(3) revised; interim.....	8871
		935.20—935.24 (Subpart B) Re- vised	12079

CHANGES JANUARY 2, 1997 THROUGH AUGUST 29, 1997

TITLE 12 Chapter IX—Con.

936 Revised	28988
960 Revised	41828

Chapter XVIII—Community Development Financial Institutions Fund, Department of the Treasury (Part 1800—1899)

1805 Revised; interim.....	16447
1806 Revised; interim.....	10672

Proposed Rules:

3.....	42006
6.....	42006
9.....	36746
25.....	12730
202.....	56, 37166
204.....	11117, 42708
205.....	3242
208.....	12730, 15272, 42006
209.....	11117, 15297
210.....	27547
211.....	12730
213.....	62, 7363
216.....	15300
225.....	2622, 42006
226.....	5183, 15624, 23189, 38489
250.....	15272, 37744, 45177
261.....	31526
271.....	45178
303.....	37748
307.....	26431
312.....	6139, 40487
325.....	37748, 42006
326.....	37748
327.....	37748
328.....	6142
330.....	26435
343.....	26994
346.....	37748
347.....	37748
351.....	37748
360.....	7725
361.....	18059
362.....	37748
369.....	12730
516.....	17110
543.....	17110, 17115
545.....	15626, 17110, 39477
550.....	39477
552.....	17110
556.....	15626, 17110
557.....	15626
561.....	15626
563.....	15626, 17110
563e.....	39477

563g.....	15626
565.....	42006
566.....	26449
567.....	42006
575.....	30778
611.....	38223
614.....	13842, 18167, 38223
615.....	20131
620.....	38223
627.....	13842, 18167
630.....	38223
650.....	8190
700—799 (Ch. VII)	11773, 11778, 19702
701.....	11779, 19702, 41313
712.....	11779, 19702
722.....	41313
723.....	41313
740.....	11779, 19702
792.....	19941
900—999 (Ch. IX)	17108, 25563

TITLE 13—BUSINESS CREDIT AND ASSISTANCE**Chapter I—Small Business Administration (Parts 1—199)**

107 Authority citation revised.....	11759
107.50 Amended.....	11759
107.692 Revised.....	23338
107.710 Revised.....	11760
120.410 (c) and (d) amended; (e) added; interim.....	302
120.420 Revised; interim.....	15602
121 Waiver	3985, 6453, 6454, 24325
Authority citation revised.....	11318
121.103 (b)(5) introductory text revised.....	11318
(b)(5) introductory text correctly revised.....	26381
123.201 (b) revised; interim	35337
143.26 (a), (b) introductory text and (1) revised.....	45939, 45940

Proposed Rules:

107	6147
120.....	8640, 25874
121.....	2979, 6499, 43584
124.....	43584
125.....	2979
134.....	43584